

PATENT APPLICATION SERIAL NO. 09/889500

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

07/20/2001 UEDUVIJE 00000096 09889500

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860.00 DP

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(5/87)

2019 Rec'd/PCT/PTO 17 JUL 2001

Form PTO-1390 (REV 10-95)		U.S. Department of Commerce Patent and Trademark Office		ATTORNEY'S DOCKET NUMBER FMW-JJ-PCT-US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/889500	
INTERNATIONAL APPLICATION NO. PCT/EP00/00421		INTERNATIONAL FILING DATE 20 January 2000		PRIORITY DATE CLAIMED 22 January 1999	
TITLE OF INVENTION: DISK MOTOR WITH BEARING PRESTRESSING FEATURE					
APPLICANT(S) FOR DO/EO/US: EHRFELD, Wolfgang; STOLTING, Hans-Dieter; MICHEL, Frank; NIENHAUS, Matthias, KLEEN, Stephan					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Article 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2))</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;">A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>					

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Annex US.II, Page 2

PCT Applicant's Guide – Volume II – National Chapter – US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/889500

INTERNATIONAL APPLICATION NO.

PCT/EP00/00421

ATTORNEY'S DOCKET NUMBER

FMW-JJ-PCT-US

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5))

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492(e))

20 ☐ 30 ☐

\$

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

Total Claims

8-20=

0

X\$18.00

\$0

Independent Claims

1-3=

0

X\$80.00

\$0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+\$270.00

\$0

TOTAL OF ABOVE CALCULATION =

\$860.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be
filed (Note 37 CFR 1.9, 1.27, 1.28)

\$0

SUBTOTAL =

\$860.00

Processing fee of \$130.00 for furnishing the English translation later than
months from the earliest claimed priority date (37 CFR 1.492(f)).

20 ☐ 30 ☐

\$0

+

TOTAL NATIONAL FEE =

\$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$0.00

TOTAL FEES ENCLOSED =

\$860.00

Amount to be
refunded:

\$

Charged:

\$

a. ☒ A check in the amount of \$860.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit No. 08-3150. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and
granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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x Daniel J. Hudak Jr.
Signature

Daniel J. Hudak Jr.
Name

47.669
Registration Number

09/889500
CERTIFICATE UNDER 37 C.F.R. § 1.5 OF MAILING
BY "EXPRESS MAIL" Rec'd PCT/PTO 17 JUL 2001

Applicant: Wolfgang Ehrfeld et al.
International Application No.: PCT/EP00/00421
International Filing Date: 20 January 2000
Title: DISK MOTOR WITH BEARING PRESTRESSING
FEATURE
Agent's Reference No.: FMW-JJ-PCT-US

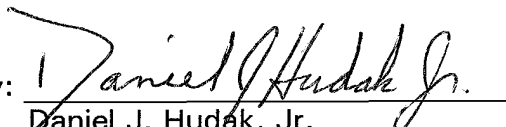
EXPRESS MAIL RECEIPT NO.: EL808314435US

DATE OF DEPOSIT: July 17, 2001

The undersigned hereby certifies that the attached papers were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box PCT, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

HUDAK & SHUNK CO., L.P.A.

By:


Daniel J. Hudak, Jr.
Agent for Applicant

DJH/lb

Enclosures: Express Mail Certification
Return postcard
Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)
Concerning a filing under 35 USC §371
Filing Fee by check of \$860.00
Unexecuted Declaration for Utility or Design Patent Application
Copy of Request (3 pages)
Copy of International Application Published under the PCT
WO 00/44082 (Search Report attached)
Translation of the International Application Published under PCT
Copy of the International Preliminary Examination Report
Information Disclosure Statement, PTO-Form 1449, 6 references
Preliminary Amendment